

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 2, 2015

Payment Due Date: October 2, 2015

MSA File Number:	2015-255	Specified Penalty Amount:	\$ 750
Market Participant Name:	Dow Chemical Canada ULC		
Asset ID (if applicable):	DOWG	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	July 24, 2015
Date of Referral/Self Report:	August 17, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On July 24, 2015 at 23:31, the available capability (AC) for DOWG was restated to 80 MW for July 25, 2015 however, the declared minimum stable generation (MSG) for DOWG remained unchanged at 120 MW, and in excess of the declared AC. The discrepancy persisted until MSG was revised by a restatement at 19:21 on July 25, 2015. ISO rule 203.3 states that a pool participant that submits an offer must, if there is a change to the minimum stable generation as a result of any acceptable operational reason, submit a minimum stable generation restatement for the source asset for the applicable hours, as soon as reasonably practicable.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability data for DOWG for July 25, 2015.
2. Self-report from Dow Chemical Canada ULC to the MSA dated August 17, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	September 2, 2015
Name:	Doug Doll	Title:	Manager Compliance and Corporate Services